TWO UNIFORMLY DISTRIBUTED PARAMETERS DEFINING CATALAN NUMBERS

A *path* is a finite sequence of ± 1's with a graphical representation as a sequence of contiguous steps of slope $+1$ (upsteps) and -1 (downsteps). For example, the path $w = (1, -1, -1, 1, -1, 1, 1, -1)$ is pictured in Figure 1.

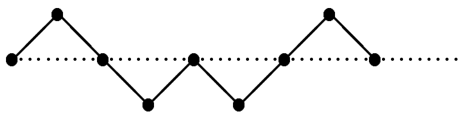

Figure 1

Let $\mathcal{P}_n$ denote the set of $\binom{2n}{n}$ paths consisting of n upsteps and n downsteps. Each path in $\mathcal{P}_n$ starts and terminates at "ground level" as in Figure 1. There is a well known parameter (statistic) on $\mathcal{P}_n$ that we will call *northcnt* (to suggest a count north of a baseline). For $w \in \mathcal{P}_n$, northcnt(w) is the number of w's n upsteps that lie above ground level. Thus northcnt $= 2$ in Figure 1, and as w ranges over $\mathcal{P}_n$, northcnt has possible values 0 through n. The paths for which northcnt $= n$—that is, the paths that lie entirely at or above ground level—we will call *Catalan* paths. Dually, we will call the paths with northcnt $= 0$ *inverted Catalan* paths: reflection in ground level gives a bijection between the two classes. It is a famous fact that exactly $1/(n+1)$ of the paths in $\mathcal{P}_n$ are Catalan: they are counted by the Catalan number $\frac{1}{n+1}\binom{2n}{n}$. A combinatorially satisfying way to see this is via the Chung-Feller Theorem, which asserts that the parameter northcnt is in fact *uniformly* distributed on $[0, n]$. This partitions $\mathcal{P}_n$ into $n+1$ equal-size classes, one of which consists of the Catalan paths. For combinatorial proofs of the Chung-Feller Theorem, see [1,2,3,4].

Curiously, there is another parameter on $\mathcal{P}_n$, *westcnt*, that serves the same purpose: it is also uniformly distributed on $[0, n]$ and it has a constant value on the set of inverted Catalan paths. To define westcnt(w), let H denote the highest point of w, taking the leftmost one if there is more than one highest point as in Figure 1. Then westcnt(w) is the number of w's n upsteps that lie to the left (west) of H. Thus the path in Figure 1 has westcnt $= 1$, and westcnt $= 0$ precisely for the inverted Catalan paths. The parameter westcnt is implicit in [5].

One could show directly that westcnt is uniformly distributed on $[0, n]$. This is essentially done in [5], modulo translation from bracket sequences to lattice paths. But that still leaves open the question, why? Can one "explain" why northcnt and westcnt are equidistributed? A satisfactory answer would consist of a "nice" bijection $\phi : \mathcal{P}_n \to \mathcal{P}_n$ such that westcnt$(w) =$ northcnt$(\phi(w))$ for all $w \in \mathcal{P}_n$. Here we give a simple such bijection.

To define ϕ, first observe that every path in $\mathcal{P}_n$ can be uniquely decomposed as in Figure 2 where the C_i and D_i are inverted Catalan paths (possibly empty), lying below the dotted segments. Each u_i is an upstep and each d_i is a downstep. There will be k C's and $k+1$ D's for some $k \geq 0$; in the illustration, $k = 2$.

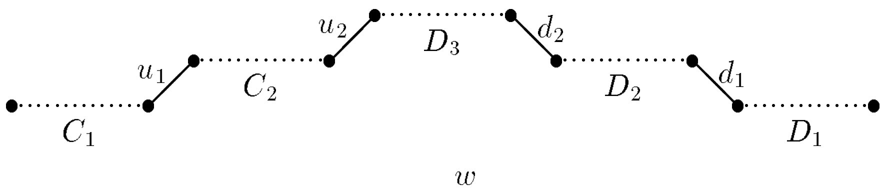

Figure 2

To see uniqueness, imagine the space above ground level divided into horizontal strips as indicated by the dotted lines (extended) in Figure 2. Then u_i, d_i will be respectively the leftmost upstep and rightmost downstep in the ith strip above ground level.

The path $\phi(w)$ is given by flipping over each C_i path so it becomes a Catalan path C_i' and then rearranging components as in Figure 3.

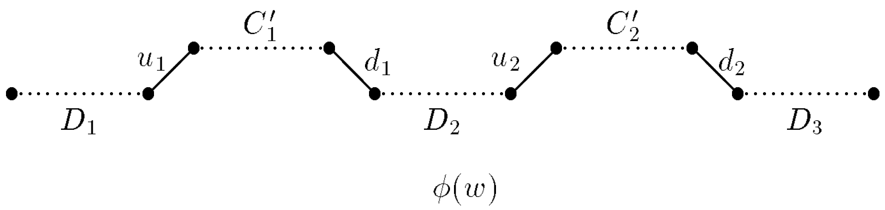

Figure 3

Note that since H (the leftmost high point) is the northeast tip of of u_k (of u_2 in Figure 2)

$$\text{westcnt}(w) = \#\ u\text{'s} \ + \ \text{total}\ \#\ \text{upsteps in the}\ C_i.$$

Also,

$$\text{northcnt}(\phi(w)) = \#\ u\text{'s} \ + \ \text{total}\ \#\ \text{upsteps in the}\ C_i'.$$

However, for each i, # upsteps in C_i = # downsteps in C_i = # upsteps in C_i', and hence $\text{westcnt}(w) = \text{northcnt}(\phi(w))$, as desired.

Finally, to show ϕ is a bijection, we must check reversibility: can the u_i, d_i, C_i', D_i as in Figure 3 be uniquely retrieved from each path in $\mathcal{P}_n$? Yes: consider the first horizontal strip above ground level. Traversing this strip left to right, upsteps and downsteps will be encountered alternately. These determine the u_i and d_i (if any). The connecting paths (possibly empty) determine the C_i' and D_i in order. We are done.

References

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