STATS 701 Data Analysis using Python

Lecture 17: Databases with SQL

Last time: HTML, XML and JSON

Each provided a different (though similar) way of storing data

Key motivation of JSON (and, sort of, HTML and XML): self-description

But we saw that JSON could get quite unwieldy quite quickly...

Example of a more complicated JSON object

```
complex json string="""{
       "id": "0001",
       "type": "donut",
       "name": "Cake",
       "ppu": 0.55,
       "batters":
               "batter":
 8
 9
10
                        { "id": "1001", "type": "Regular" },
11
                        { "id": "1002", "type": "Chocolate" },
12
                        { "id": "1003", "type": "Blueberry" },
13
                        { "id": "1004", "type": "Devil's Food" }
14
15
16
       "topping":
17
18
                { "id": "5001", "type": "None" },
19
                 "id": "5002", "type": "Glazed" },
                 "id": "5005", "type": "Sugar" },
20
                { "id": "5007", "type": "Powdered Sugar" },
21
                [ "id": "5006", "type": "Chocolate with Sprinkles" },
22
23
                 "id": "5003", "type": "Chocolate" },
24
                 "id": "5004", "type": "Maple" }
25
26 }"""
```

What if I have hundreds of different kinds of cakes or donuts? The nestedness of JSON objects makes them a little complicated. Generally, JSON is good for delivering (small amounts of) data, but for storing and manipulating large, complicated collections of data, there are better tools, namely databases.

Note: there are also security and software engineering reasons to prefer databases over JSON for storing data, but that's beyond the scope of our course.

Why use a database?

Database software hides the problem of actually handling data As we'll see in a few slides, this is a complicated thing to do! Indexing, journaling, archiving handled automatically

Allow fast, concurrent (i.e., multiple users) access to data ACID transactions (more on this in a few slides)

Access over the web

DBs can be run, e.g., on a server

Again, JSON/XML/HTML/etc good for delivering data, DBs good for storing

Databases (DBs)

Information, organized so as to make retrieval fast and efficient

Examples: Census information, product inventory, library catalogue

This course: relational databases

https://en.wikipedia.org/wiki/Relational_database

So-named because they capture relations between entities In existence since the 1970s, and still the dominant model in use today

Outside the scope of this course: other models (e.g., object-oriented) <u>https://en.wikipedia.org/wiki/Database_model</u>

Textbook: Database System Concepts by Silberschatz, Korth and Sudarshan.

Relational DBs: pros and cons

Pros:

Natural for the vast majority of applications Numerous tools for managing and querying

Cons:

Not well-suited to some data (e.g., networks, unstructured text) Fixed schema (i.e., hard to add columns) Expensive to maintain when data gets large (e.g., many TBs of data)

Fundamental unit of relational DBs: the record

Each entity in a DB has a corresponding **record**

- Features of a record are stored in **fields**
- Records with same "types" of fields collected into tables
- Each record is a row, each field is a column

ID	Name	UG University	Field	Birth Year	Age at Death
101010	Claude Shannon	University of Michigan	Electrical Engineering	1916	84
314159	Albert Einstein	ETH Zurich	Physics	1879	76
21451	Ronald Fisher	University of Cambridge	Statistics	1890	72

Table with six fields and three records.

Fields can contain different data types

ID	Name	UG University	Field	Birth Year	Age at Death
101010	Claude Shannon	University of Michigan	Electrical Engineering	1916	84
314159	Albert Einstein	ETH Zurich	Physics	1879	76
21451	Ronald Fisher	University of Cambridge	Statistics	1890	72

Unsigned int, String, String, String, Unsigned int, Unsigned int

Of course, can also contain floats, signed ints, etc. Some DB software allows categorical types (e.g., letter grades).

By convention, each record has a primary key

ID	Name	UG University	Field	Birth Year	Age at Death
101010	Claude Shannon	University of Michigan	Electrical Engineering	1916	84
314159	Albert Einstein	ETH Zurich	Physics	1879	76
21451	Ronald Fisher	University of Cambridge	Statistics	1890	72

Primary key used to uniquely identify the entity associated to a record, and facilitates joining information across tables.

ID	PhD Year	PhD University	Thesis Title
101010	1940	МІТ	An Algebra for Theoretical Genetics
314159	1905	University of Zurich	A New Determination of Molecular Dimensions
21451			

ACID: Atomicity, Consistency, Isolation, Durability

Atomicity: to outside observer, every transaction (i.e., changing the database) should appear to have happened "instantaneously".

Consistency: DB changes should leave the DB in a "valid state" (e.g., changes to one table that affect other tables are propagated before the next transaction)

Isolation: concurrent transactions don't "step on eachother's toes"

Durability: changes to DB are permanent once they are committed

Note: some RDBMSs achieve faster performance, at cost of one or more of above **Related:** Brewer's Theorem <u>https://en.wikipedia.org/wiki/CAP_theorem</u>

Relational Database Management Systems (RDBMSs)

Program that facilitates interaction with database is called RDBMS

Public/Open-source options: MySQL, PostgreSQL, **SQLite**

Proprietary:

IBM Db2, Oracle, SAP, SQL Server (Microsoft)

We'll use SQLite, because it comes built-in to Python. More later.

SQL (originally SEQUEL, from IBM)

Structured Query Language (Structured English QUEry Language)

Language for interacting with relational databases Not the only way to do so, but by far most popular Slight variation from platform to platform ("dialects of SQL")

Good tutorials/textbooks: https://www.w3schools.com/sql/sql_intro.asp O'Reilly books: *Learning SQL* by Beaulieu *SQL Pocket Guide* by Gennick Severance, Chapter 14: http://www.pythonlearn.com/html-270/book015.html

Examples of database operations

ID	Name	GPA	Major	Birth Year
101010	Claude Shannon	3.1	Electrical Engineering	1916
500100	Eugene Wigner	3.2	Physics	1902
314159	Albert Einstein	4.0	Physics	1879
214518	Ronald Fisher	3.25	Statistics	1890
662607	Max Planck	2.9	Physics	1858
271828	Leonard Euler	3.9	Mathematics	1707
999999	Jerzy Neyman	3.5	Statistics	1894
112358	Ky Fan	3.55	Mathematics	1914

- Find names of all physics majors
- Compute average GPA of students born in the 19th century
- Find all students with GPA > 3.0

SQL allows us to easily specify queries like these (and far more complex ones).

Other operations: joining tables

ID	Name	GPA	Major	Birth Year
101010	Claude Shannon	3.1	Electrical Engineering	1916
314159	Albert Einstein	4.0	Physics	1879
999999	Jerzy Neyman	3.5	Statistics	1894
112358	Ky Fan	3.55	Mathematics	1914

ID	#Pets	Favorite Color
101010	2	Blue
314159	0	Green
999999	1	Red
112358	2	Green

Join tables based on primary key

ID	Name	GPA	Major	Birth Year	#Pets	Favorite Color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

Common database operations

Extracting records: find all rows in a table

Filtering records: retain only the records (rows) that match some criterion

Sorting records: reorder selected rows according to some field(s)

Adding/deleting records: insert new row(s) into a table or remove existing row(s)

Grouping records: gather rows according to some field

Adding/deleting tables: create new or delete existing tables

Merging tables: combine information from multiple tables into one table

Common database operations

Extracting records: find all rows in a table

SQL includes keywords for succinctly expressing all of these operations.

Filtering records: retain only the records (rows) that match some criterion

Sorting records: reorder selected rows according to some field(s)

Adding/deleting records: insert new row(s) into a table or remove existing row(s)

Grouping records: gather rows according to some field

Adding/deleting tables: create new or delete existing tables

Merging tables: combine information from multiple tables into one table

Retrieving records: SQL SELECT Statements

Basic form of a SQL SELECT statement:

SELECT [column names] FROM [table]

Example: we have table t_customers of customer IDs, names and companies

Retrieve all customer names: SELECT name FROM t customers

Retrieve all company names: SELECT company FROM t customers

Note: by convention (and good practice), one often names tables to be prefixed with "TB_" or "t_". In our illustrative examples, I won't always do this for the sake of space and brevity, but I highly recommend it in practice. See https://launchbylunch.com/posts/2014/Feb/16/sql-naming-conventions/ and http://leshazlewood.com/software-engineering/sql-style-guide/ for two people's (differing) opinions.

Table t_students

id	name	gpa	major	birth_year	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

SELECT id, name, birth_year FROM t_students

id	name	birth_year
101010	Claude Shannon	1916
314159	Albert Einstein	1879
999999	Jerzy Neyman	1894
112358	Ky Fan	1914

Filtering records: SQL WHERE Statements

To further filter the records returned by a SELECT statement:

SELECT [column names] FROM [table] WHERE [filter]

Example: table t_inventory of product IDs, unit cost, and number in stock

Retrieve IDs for all products with unit cost at least \$1:

SELECT id FROM t inventory WHERE unit cost>=1

Note: Possible to do much more complicated filtering, e.g., regexes, set membership, etc. We'll discuss that more in a few slides.

Table t_students

id	name	gpa	major	birth_year	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

SELECT id, name FROM t_students WHERE birth_year >1900

id	name
101010	Claude Shannon
112358	Ky Fan

NULL means Nothing!

Table t_thesis

id	phd_year	phd_university	thesis_title
101010	1940	МІТ	An Algebra for Theoretical Genetics
314159	1905	University of Zurich	A New Determination of Molecular Dimensions
214511			
774477	1970	MIT	

SELECT id FROM t_thesis WHERE phd_year IS NULL

id	
21451	

NULL matches the *empty string*, i.e., matches the case where the field was left empty. Note that if the field contains, say, ` ', then NULL will *not* match that row!

Ordering records: SQL ORDER BY Statements

To order the records returned by a SELECT statement:

SELECT [columns] FROM [table] ORDER BY [column] [ASC|DESC]

Example: table t inventory of product IDs, unit cost, and number in stock

Retrieve IDs, # in stock, for all products, ordered by descending # in stock:

SELECT id, number in stock FROM t inventory

ORDER BY number_in_stock DESC

Note: most implementations order ascending by default, but best to always specify, for your sanity and that of your colleagues!

Table t_students

id	name	gpa	major	birth_year	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

SELECT id, name, gpa FROM t students ORDER BY gpa DESC

id	name	gpa
314159	Albert Einstein	4.0
112358	Ky Fan	3.55
999999	Jerzy Neyman	3.5
101010	Claude Shannon	3.1

More filtering: DISTINCT Keyword

To remove repeats from a set of returned results:

```
SELECT DISTINCT [columns] FROM [table]
```

Example: table t student of student IDs, names, and majors

Retrieve all the majors:

SELECT DISTINCT major FROM t student

Table t_students

id	name	gpa	major	birth_year	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

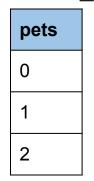
SELECT DISTINCT pets FROM t students ORDER BY pets ASC

Test your understanding: what should this return?

Table t_students

id	name	gpa	major	birth_year	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

SELECT DISTINCT pets FROM t students ORDER BY pets ASC



More on WHERE Statements

WHERE keyword supports all the natural comparisons one would want to perform

(Numberical) Operation	Symbol/keyword
Equal	=
Not equal	<>
Less than	<
Less than or equal to	<=
Greater than	>
Greater than or equal to	>=
Within a range	BETWEEN AND

Examples:

```
SELECT id from t_student WHERE ...
```

```
... gpa>=3.2
```

```
... pets=1
```

```
... gpa BETWEEN 2.9 AND 3.1
```

```
... birth_year > 1900
```

... pets <> 0

Caution: different implementations define BETWEEN differently (i.e., inclusive vs exclusive)! Be sure to double check!

More on WHERE Statements

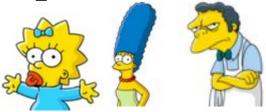
WHERE keyword also allows (limited) regex support and set membership

SELECT id, major from t_student WHERE major IN ("Mathematics","Statistics")

SELECT id, major from t_student WHERE major NOT IN ("Physics")

Regex-like matching with LIKE keyword, wildcards ` ' and `%'

SELECT id, name from t_simpsons_characters WHERE first_name LIKE "M%"



SELECT id, name from t_simpsons_characters WHERE first_name LIKE "B_rt"

Matches 'Bart', 'Bert', 'Bort' ...

Aggregating results: GROUP BY

I have a DB of transactions at my internet business, and I want to know how much each customer has spent in total.

customer_id	customer	order_id	dollar_amount
101	Amy	0023	25
200	Bob	0101	10
315	Cathy	0222	50
200	Bob	0120	12
310	Bob	0429	100
315	Cathy	0111	33
101	Amy	0033	25
315	Cathy	0504	70

SELECT customer_id,SUM(dollar_amount) FROM t transactions GROUP BY customer id

customer_id	dollar_amount
101	50
200	22
310	100
315	153

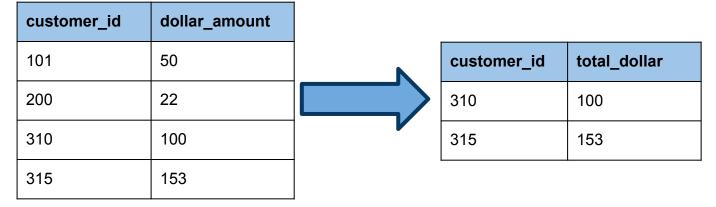
GROUP BY field_x combines the rows with the same value in the field field_x

More about GROUP BY

GROUP BY supports other operations in addition to SUM: COUNT, AVG, MIN, MAX Called aggregate functions

Can filter results after GROUP BY using the HAVING keyword

SELECT customer_id, SUM(dollar_amount) as total_dollar FROM t_transactions GROUP BY customer id HAVING total dollar>50



More about GROUP BY

GROUP BY supports other operations in addition to SUM: COUNT, AVG, MIN, MAX Called aggregate functions Note: the difference between the HAVING keyword and the WHERE keyword is that HAVING operates after applying filters and GROUP BY.

Can filter results after GROUP BY using the HAVING keyword

SELECT customer_id, SUM(dollar_amount) AS total_dollar FROM t_transactions GROUP BY customer_id HAVING total_dollar>50

customer_id	dollar_amount			
101	50	N	customer_id	total_dollar
200	22	$\square \rangle$	310	100
310	100		315	153
315	153		515	

The AS keyword just lets us give a nicer name to the aggregated field.

Merging tables: JOIN

ID	Name	GPA	Major	Birth Year	ID	#Pets	Favorite Color
101010	Claude Shannon	3.1	Electrical Engineering	1916	101010	2	Blue
314159	Albert Einstein	4.0	Physics	1879	314159	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	999999	1	Red
112358	Ky Fan	3.55	Mathematics	1914	112358	2	Green

Join tables based on primary key

ID	Name	GPA	Major	Birth Year	#Pets	Favorite Color
101010	Claude Shannon	3.1	Electrical Engineering	1916	2	Blue
314159	Albert Einstein	4.0	Physics	1879	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	1	Red
112358	Ky Fan	3.55	Mathematics	1914	2	Green

Merging tables: INNER JOIN

t_student				t_personal			
id	name	gpa	major	birth_year	id	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	101010	2	Blue
314159	Albert Einstein	4.0	Physics	1879	314159	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	999999	1	Red
112358	Ky Fan	3.55	Mathematics	1914	112358	2	Green

Join tables based on primary key

SELECT

```
t_student.id,t_student.name,t_personal.pets
FROM
```

```
t_student INNER JOIN t_personal
ON t_student.id=t_personal.id
```

id	name	favorite_color		
101010	Claude Shannon	Blue		
314159	Albert Einstein	Green		
999999	Jerzy Neyman	Red		
112358	Ky Fan	Green		

Merging tables: INNER JOIN

t_student				t_personal			
id	name	gpa	major	birth_year	id	pets	favorite_color
101010	Claude Shannon	3.1	Electrical Engineering	1916	101010	2	Blue
314159	Albert Einstein	4.0	Physics	1879	314159	0	Green
999999	Jerzy Neyman	3.5	Statistics	1894	999999	1	Red
112358	Ky Fan	3.55	Mathematics	1914	112358	2	Green

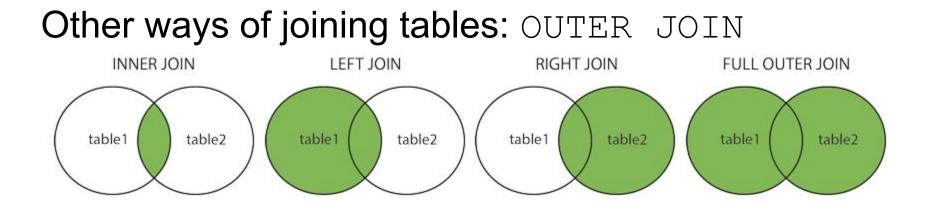
Join tables based on primary key

SELECT

```
t_student.id,t_student.name,t_personal.pets
FROM
```

t_student INNER JOIN t_personal ON t_student.id=t_personal.id

id	name	favorite_color
101010	Claude Shannon	Blue
314159	Albert Einstein	Green
999999	Jerzy Neyman	Red
112358	Ky Fan	Green



(INNER) JOIN: Returns records that have matching values in both tables

LEFT (OUTER) JOIN: Return all records from the left table, and the matched records from the right table

RIGHT (OUTER) JOIN: Return all records from the right table, and the matched records from the left table

FULL (OUTER) JOIN: Return all records when there is a match in either left or right table

https://www.w3schools.com/sql/sql_join.asp

Creating/modifying/deleting rows

Insert a row into a table: INSERT INTO

INSERT INTO table_name [col1, col2, col3, ...]
VALUES value1, value2, value3, ...
Note: if adding values for all columns, you only need to specify the values.

Modify a row in a table: UPDATE
 UPDATE table_name SET coll=value1, col2=value2,
 WHERE condition
Delete rows from a table: DELETE
 DELETE FROM table name WHERE condition
Caution: if where clause is
left empty, you'll delete/modify
the whole table!

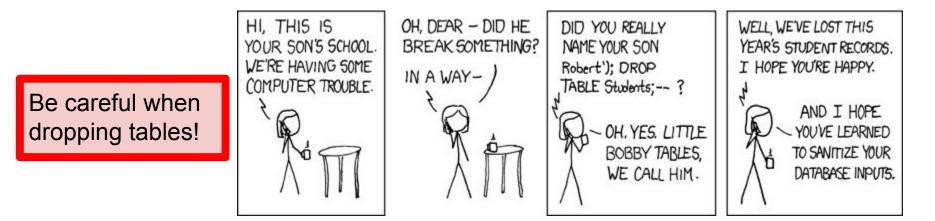
Creating and deleting tables

Create a new table: CREATE TABLE

CREATE TABLE table_name [col1 datatype, col2 datatype, ...]

Delete a table: DROP TABLE

DROP TABLE table name;



Python sqlite3 package implements SQLlite

Connection object represents a database Connection object can be used to create a Cursor object Cursor facilitates interaction with database

conn = sqlite3.connect('example.db')
 establish connection to given DB file (creating it if necessary)
 return Connection object

c = conn.cursor()

Creates and returns a Cursor object for interacting with DB

c.execute([SQL command])

runs the given command; cursor now contains query results

Python sqlite3 package

Important point: unlike many other RDBMSs, SQLite does not allow multiple connections to the same database at the same time.

So, if you're working in a distributed environment, you'll need something else e.g., MySQL, Oracle, etc.

Python sqlite3 in action

```
1 import salite3
 2 conn = sqlite3.connect('example.db')
 3 c = conn.cursor() # create a cursor object.
  c.execute('''CREATE TABLE t student (id, name, field, birth year)''')
   students = [(101010, 'Claude Shannon', 'Electrical Engineering', 1916),
 5
               (500100, 'Eugene Wigner', 'Physics', 1902),
 6
 7
               (314159, 'Albert Einstein', 'Physics', 1879),
 8
               (214518, 'Ronald Fisher', 'Statistics', 1890),
 9
               (662607, 'Max Planck', 'Physics', 1858),
10
               (271828, 'Leonard Euler', 'Mathematics', 1707),
               (999999, 'Jerzy Neyman', 'Statistics', 1894),
11
12
               (112358, 'Ky Fan', 'Mathematics', 1914)]
13 c.executemany('INSERT INTO t student VALUES (?,?,?,?)', students)
14 conn.commit() # Write the changes back to example.db
15 for row in c.execute('''SELECT * from t student'''):
16
       print row
```

(101010, u'Claude Shannon', u'Electrical Engineering', 1916) (500100, u'Eugene Wigner', u'Physics', 1902) (314159, u'Albert Einstein', u'Physics', 1879) (214518, u'Ronald Fisher', u'Statistics', 1890) (662607, u'Max Planck', u'Physics', 1858) (271828, u'Leonard Euler', u'Mathematics', 1707) (999999, u'Jerzy Neyman', u'Statistics', 1894) (112358, u'Ky Fan', u'Mathematics', 1914)

Python sqlite3 in action

c = conn.cursor() # create a cursor object.

(999999, u'Jerzy Neyman', u'Statistics', 1894)

(112358, u'Ky Fan', u'Mathematics', 1914)

2 conn = sqlite3.connect('example.db')

import sqlite3

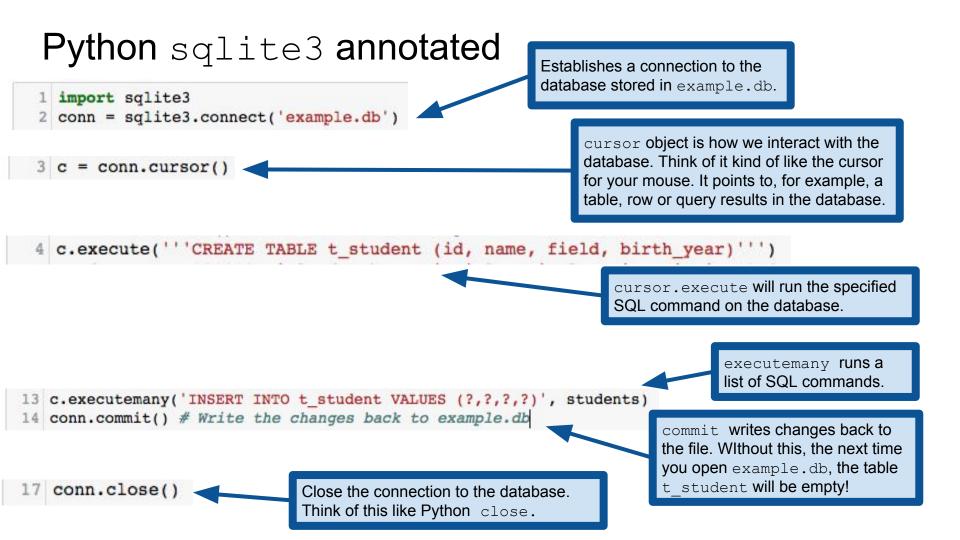
Create the table. Note that we need not specify a data type for each column. SQLite is flexible about this.

Insert rows in the table.

Note: sqlite3 has special syntax for parameter substitution in strings. Using the built-in Python string substitution is insecure-- vulnerable to SQL injection attack.

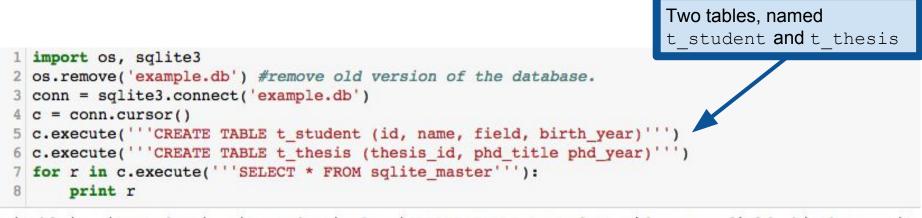
Executing a query returns an iterator over query results.

c.execute('''CREATE TABLE t student (id, name, field, birth year)''') students = [(101010, 'Claude Shannon', 'Electrical Engineering', 1916), (500100, 'Eugene Wigner', 'Physics', 1902), (314159, 'Albert Einstein', 'Physics', 1879), 8 (214518, 'Ronald Fisher', 'Statistics', 1890), 9 (662607, 'Max Planck', 'Physics', 1858), 10 (271828, 'Leonard Euler', 'Mathematics', 1707), 11 (999999, 'Jerzy Neyman', 'Statistics', 1894), 12 (112358, 'Ky Fan', 'Mathematics', 1914)] c.executemany('INSERT INTO t student VALUES (?,?,?,?)', students) 13 conn.commit() # Write the changes back to example.db 14 for row in c.execute('''SELECT * from t student'''): 15 16 print row (101010, u'Claude Shannon', u'Electrical Engineering', 1916) (500100, u'Eugene Wigner', u'Physics', 1902) (314159, u'Albert Einstein', u'Physics', 1879) (214518, u'Ronald Fisher', u'Statistics', 1890) (662607, u'Max Planck', u'Physics', 1858) (271828, u'Leonard Euler', u'Mathematics', 1707)



Metainformation: sqlite master

Special table that holds information about the "real" tables in the database



(u'table', u't_student', u't_student', 2, u'CREATE TABLE t_student (id, name, field, birth_year)')
(u'table', u't_thesis', u't_thesis', 3, u'CREATE TABLE t_thesis (thesis_id, phd_title phd_year)')

Retrieving column names in sqlite3



(('id', None, None, None, None, None, None, None), ('name', None, None, None, None, None, None), ('field', None, None, None, None, None, None), ('birth_year', None, None, None, None, None, None))

description attribute contains the column names; returned as a list of tuples for agreement with a different Python DB API.

1 [desc[0] for desc in c.description]

['id', 'name', 'field', 'birth_year']

Note: this is especially useful in tandem with the <code>mysql_master</code> table when exploring a new database, like in your homework!

Readings

Required:

Oracle relational databases overview (**only** the overview!)

https://docs.oracle.com/javase/tutorial/jdbc/overview/database.html

First section of Python sqlite3 documentation

https://docs.python.org/3/library/sqlite3.html

Recommended:

w3schools SQL tutorial: <u>https://www.w3schools.com/sql/</u>